

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Yuli Zhou et al.	GROUP NO.:	3691
PATENT NO.:	7,660,761	EXAMINER:	Lalita M. Hamilton
FILING DATE:	July 17, 2003	CONF. NO.:	3547
TITLE:	SYSTEM AND METHOD FOR AUTOMATED TRADING		

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION

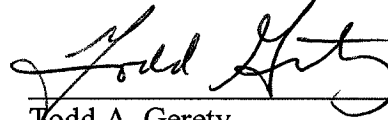
The Assignee, UBS AG, by virtue of the assignment recorded February 1, 2007, at Reel 018836, Frame 0025, respectfully requests issuance of a Certificate of Correction under 37 C.F.R. § 1.322 to correct the Patent Office mistake in U.S. Patent No. 7,660,761. A discussion regarding the mistake and request for correction is as follows.

In the final amendment filed on December 31, 2008, claims 1-29 were submitted and subsequently allowed. Upon issuance of the patent, Applicants recognized that the Patent Office reordered the claims and changed certain claim dependencies accordingly. After reviewing the issued claims in comparison with the claims as allowed, it appears that the order of issued claims 6 and 7 and the dependency of issued claim 6 are incorrect.

Specifically, during prosecution, claims 4 and 8 were allowed, such that claim 8 depended on claim 4. Referring to the patent, allowed claim 4 was subsequently issued as claim 7 and allowed claim 8 was issued as claim 6. Based on this reordering, issued claim 6 should depend from issued claim 7. Because a claim should not depend from a claim recited after it, we request that the text of claims 6 and 7 be switched and that corrected claim 7 depend from issued claim 6 (as opposed to issued claim 5).

The Office is invited to contact the Assignee's Attorney at the number below with any questions regarding this Request. The Assignee believes that no fees are due in connection with this Request. The Commissioner, however, is hereby authorized to charge any fees necessary to Deposit Account No. 16-2500.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Todd Gerety", written over a horizontal line.

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UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 7,660,761
DATED : February 9, 2010
INVENTOR(S) : Zhou et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Cancel claim 6, lines 56-58 and insert the following claim:

6. The method of claim 2, wherein the selected marketplace is a reporting facility or a marketplace that can be used as a reporting facility.

Cancel claim 7, lines 59-61 and insert the following claim:

7. The method of claim 6, wherein the algorithm engine is programmed to quote a predetermined improvement from the NBBO.

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